



**Parallel session
Governance/finance**

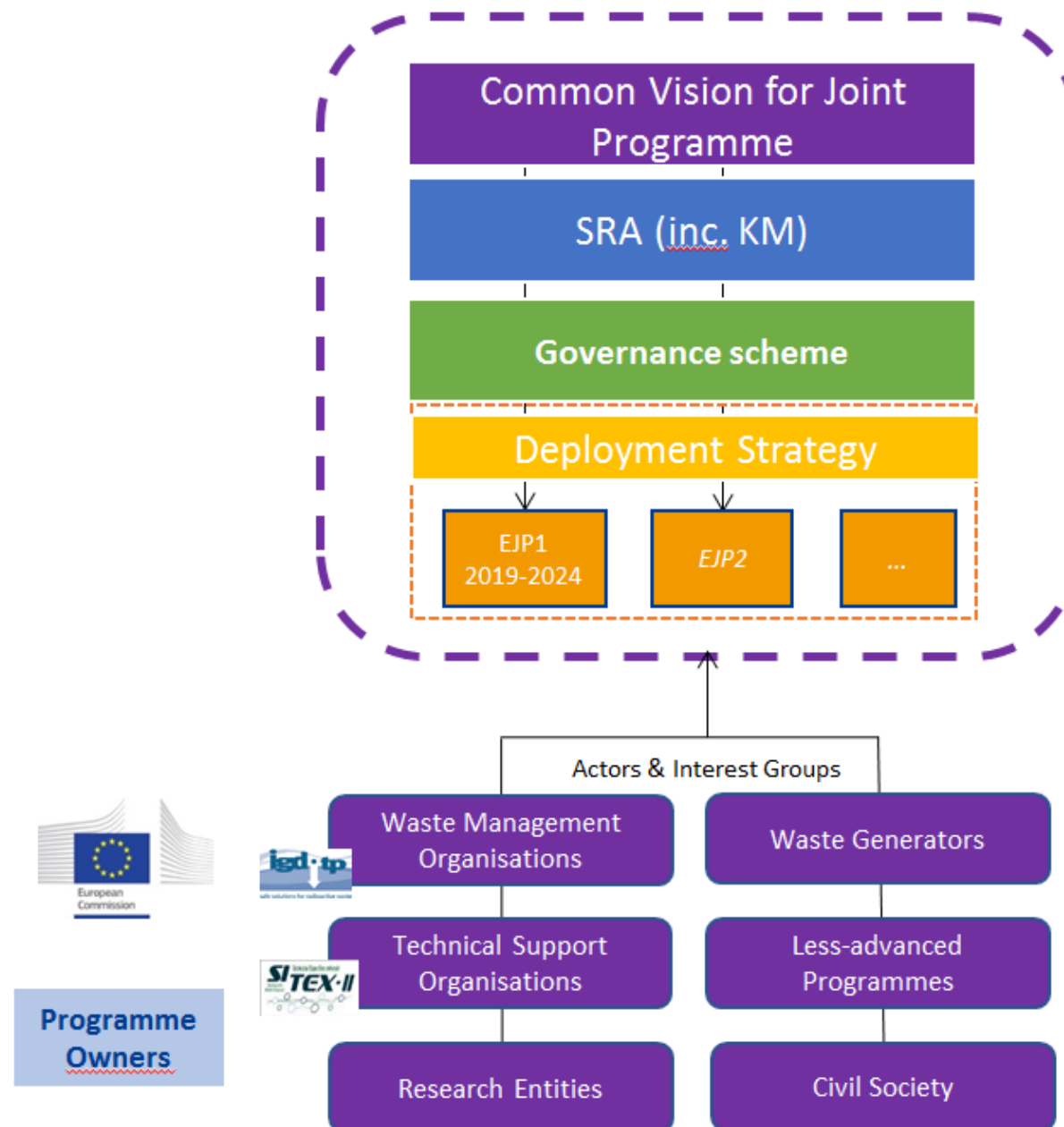
Chair: Marie GARCIA (Andra, France) and
Gilles Hériard-Dubreuil (Mutadis, France)

JOPRAD Programme Workshop, 4th April 2017



Q&A session on finance and governance arrangements

Research Joint Programme in Radioactive Waste Management and Disposal in Europe

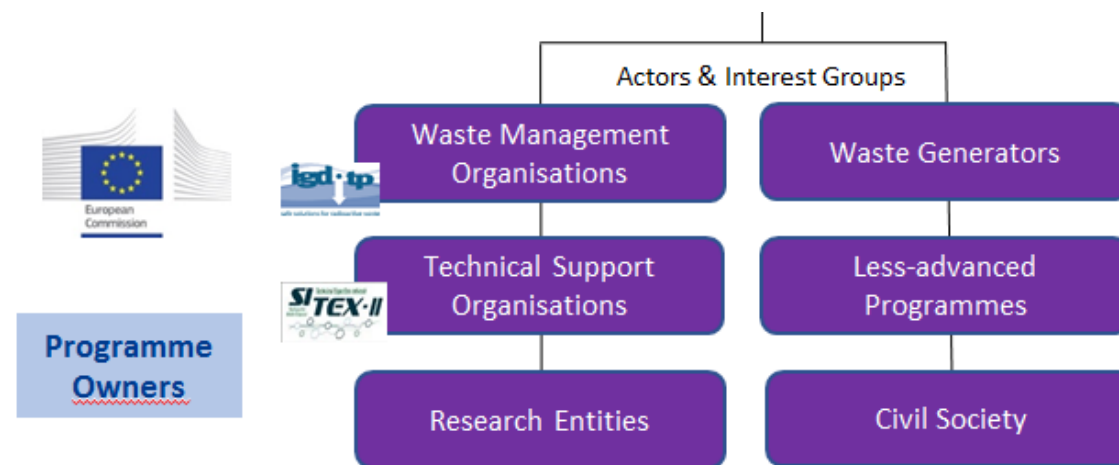


EJP Actors

Our EJP in the field of RWMD will bring together:

- ▶ Waste Management organisations (WMOs);
- ▶ Technical support organisations (TSOs);
- ▶ Research Entities (REs);
- ▶ Waste Producers;
- ▶ Civil Society

with different ways of involvement depending on the status of the different actors.



Who can participate in a JP?

- ▶ EJP Beneficiaries are legal entities from EU Member-States or associated countries able to direct national funding and/or manage a national research programme:
 - ▶ **Programme owners** (national /regional authorities) responsible for defining, financing or managing national/regional programmes ;
 - ▶ **Programme managers** (research councils, funding agencies or other entities - not necessarily public) implementing national/regional research programmes under the supervision of the programme owners.

Programme Managers are mandated by the Programme Owner. A Programme Owner can at the same time be the Programme Manager.

- ▶ In our case, the organisations **mandated** as *Programme managers/owners* will participate as **Beneficiary**:
 - ▶ Mandated Waste Management organisations (WMOs);
 - ▶ Mandated Technical Support Organisations (TSOs); and
 - ▶ Mandated Research Entities (REs).
- ▶ Organisations that would not be mandated will be able to contribute as **Linked Third Parties** if they have a legal link with a Beneficiary
 - ▶ WMOs, TSOs, Research Entities that will not be mandated
 - ▶ Waste Producers
 - ▶ Civil Society Organisations

2 status for those who carry out activities

	Beneficiary	Linked Third Party
Organisations	<p>Organisations <u>mandated</u> as 'Programme managers' and/or 'Programme owners':</p> <ul style="list-style-type: none"> • WMOs • TSOs • Research Entities • Other Entities (if needed) 	<p>Organisations that would not be mandated but that will contribute to the EJP activities :</p> <ul style="list-style-type: none"> • WMOs, TSOs, Research Entities that will not be mandated • Waste Producers • Civil Society Organisations
Conditions	Mandate given by Programme Owner	be linked to a Beneficiary, i.e. have an already existing contractual or other legal link with a Beneficiary
Contribution to EJP activities	Both Beneficiaries and Linked Third Parties carry out work for the EJP independently and claim their own (actual) costs for the work they perform (no profit is allowed)	
EC funding rate	Same EC funding rate for both	
Receiving the EC Funding	receiving directly EC co-funding, and distribute it to their Linked Third Parties	receiving their EC co-funding through the Beneficiary to which it is linked

Participant Declaration Template

Participant Declaration Template for the Grant Agreement for European Joint Programme Cofund actions

(To be filled out by each participant, please copy this text onto the letterhead of your organisation.)

Participant declaration for the European Joint Programme ('EJP') Cofund action [Proposal number and Acronym of the EJP]

The undersigned [*Name of the authorised representative*] declares that [*name of participant*] will participate with the following research programmes carried out at national or regional level in the EJP Cofund action [*Proposal number and Acronym of the EJP*]:

[*Title of the research programme*]¹

- [*name of participant*] is **Programme Owner and Programme Manager** for the above mentioned programme.
- [*name of participant*] is **Programme Owner** for the above mentioned programme. The organisation in charge of its implementation as Programme Manager is [*name of the organisation acting as Programme Manager*] and I declare that they have been mandated to manage the programme and participate in the action.
- [*name of participant*] is **Programme Manager** for the above mentioned programme. The Programme Owner for this programme is [*name of the organisation being the Programme Owner*] and they have provided a declaration confirming the mandate to manage the programme on their behalf².

Name and signature

Date and stamp

Mandate to Programme Manager Template for the Grant Agreement for European Joint Programme Cofund actions

(To be filled out by the entity mandating the participation of a Programme Manager in the action. Please copy this text onto the letterhead of the Programme Owner responsible for the research programme.)

Mandate to Programme Manager for the European Joint Programme ('EJP') Cofund action [Proposal number and Acronym of the EJP]

The undersigned [*Name of the authorised representative*] declares that [*Name of participating organisation acting as Programme Manager*] has been mandated by [*Name of the organisation being the Programme Owner*] to manage the following research programme[s] and participate in the action:

[*Title of the research programme(s)*]

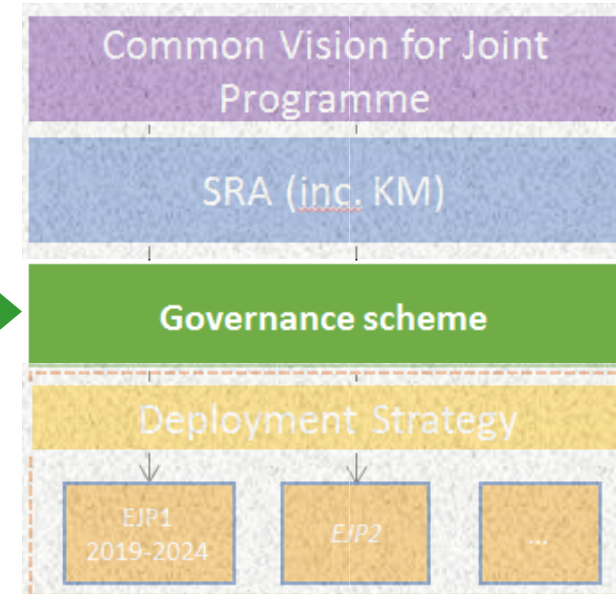
Name and signature

Date and stamp

Important message

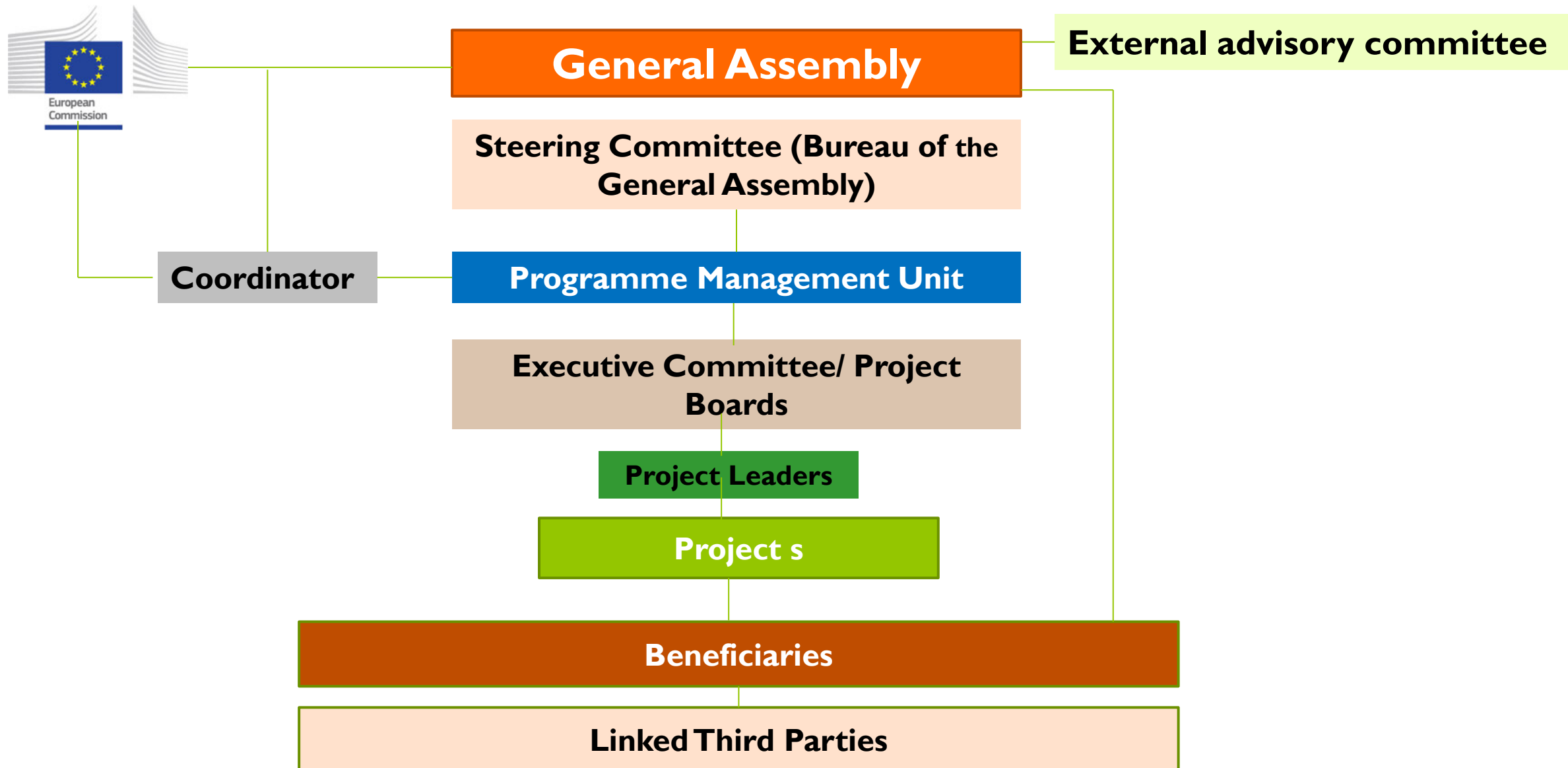
- ▶ In order to be involved as Beneficiary in an EJP, participants that are not Programme Owner need to be mandated as Programme Manager.
- ▶ You (WMOs, TSOs, Res) need to contact your national/regional authorities, inform them rapidly about the setting up of the EJP in RWMD and keep them regularly informed during the building process.
- ▶ Other participants needs to have an existing legal link with one Beneficiary.
- ▶ Specific documentation will be prepared, as soon as further official information regarding the call will be disseminated.

Governing principles



<i>Inclusiveness</i>	ensure that the different categories of Actors and Groups of Interest are involved in the definition and implementation of the Joint Programme;
<i>Transparency</i>	ensure that decisions are taken in a transparent way, especially regarding how, why and by whom projects and activities are evaluated, selected and implemented;
<i>Excellence</i>	assure that highest scientific standards are applied in all research works
<i>Balance and equity</i>	strive for the highest level of balance and equity by covering interests in RD&D/cross-cutting activities of the different categories of Actors/Groups and by fair distribution of budget;
<i>Preservation of independence</i>	avoid conflicts of interests and preserve the independence between the “Expertise function” and the “Implementing function”;
<i>Flexibility</i>	ensure that new Actors can get involved and new activities can be launched in the course of an implementation phase.

Possible Governing Scheme



EJP Co-funding rate and available budget

Budget input at EC level

- ▶ Available EC budget between 25-45M€
- ▶ EC funding rate between 50 and 70% of total costs
 - ▶ Will appear in the EC call
 - ▶ Aspirationally, by bringing together nationally funded Actors, the leverage will be even higher.

Budget input at EJP level

- ▶ Possibility to have specific funding rates per activities
 - ▶ This will be analysed when EC budget and funding rate will be known

EJP set-up - Your Contact Points

- ▶ To send your **question/contribution** about the future RWMD EJP I regarding:
 - ▶ **RD&D activities**, then contact:

WMOs	TSOs	REs
Monica Hammarström (SKB) monica.hammarstrom@skb.se and Stéphan Schumacher (Andra) stephan.schumacher@andra.fr	Valéry Detilleux (Bel V) valery.detilleux@belv.be and Jean-Dominique Barnichon (IRSN) jean-dominique.barnichon@irsn.fr Jitka Miksova (CVREZ) and Jitka.Miksova@cvrez.cz	Bernd Grambow (CNRS) Bernd.Grambow@subatech.in2p3.fr

- ▶ **Knowledge Management activities**, then contact:
 - ▶ Gunnar Buckau (JRC) Gunnar.Buckau@ec.europa.eu and Marie Garcia (Andra)
marie.garcia@andra.fr
- ▶ **On any other aspects (governance, participation, etc.)**
 - ▶ Marie Garcia (Andra) marie.garcia@andra.fr
- ▶ Civil Society Organisations, please contact Gilles Hériard-Dubreuil